

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

Form 6-K

REPORT OF FOREIGN PRIVATE ISSUER PURSUANT TO RULE 13a-16 OR 15d-16  
UNDER THE SECURITIES EXCHANGE ACT OF 1934

For the month of April 2023

Commission File Number: 001-41407

**TOP FINANCIAL GROUP LIMITED**  
(Translation of registrant’s name into English)

**118 Connaught Road West**  
**Room 1101**  
**Hong Kong**  
(Address of principal executive office)

Indicate by check mark whether the registrant files or will file annual reports under cover of Form 20-F or Form 40-F.

Form 20-F ☒      Form 40-F ☐

Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(1): ☐

Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(7): ☐

TOP Financial Group Limited (the “Company”) is aware of recent stock movement and cautions its investors to rely solely on press releases issued by the Company and Company’s annual report and other filings with the United States Securities and Exchange Commission.

## SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

Date: April 28, 2023

**TOP FINANCIAL GROUP LIMITED**

By: /s/ Ka Fai Yuen

Name: Ka Fai Yuen

Title: Chief Executive Officer